PTO/SB/17 (10-03)

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## **TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Signature

(\$) 860.00

Complete if Known				
Application Number	09/819,252			
Filing Date	March 27, 2001			
First Named Inventor	Scott A. Waldman			
Examiner Name	Natalie A. Davis			
Art Unit	1642			
Attorney Docket No.	08321-0164 US			

Date

October 2, 2003

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None		DDITI					
Deposit Account:		Entity					
Deposit Account 50-0573	Fee Code	(\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number	1051	130	2051	65	Surcharge - late filing fee or oath		
Deposit Account Drinker Biddle & Reath LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)		130	1053	130	Non-English specification		
Charge fee(s) indicated below  Credit any overpayments		2,520	1812	•	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	475.00	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	475.00	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive - unavoidable		
	1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)		
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee		
Total Claims20** = X = X =	1503	640	2503	320	Plant issue fee		
Claims - 3	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent	1807	50	180	7 50	Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity	1806	180	180	6 180	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	280	9 385	Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	281	0 385	For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	385.00	
over original patent	1801		2801		Request for Continued Examination (RCE)	305.00	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 0.00		Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above		uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 860.0	00	
SUBMITTED BY (Complete (if applicable))							
Name (Print/Type) Daniel A. Monaco		Registra Attornev		o. 30.	,480 Telephone (215) 988-33		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## PATENT ATTORNEY DOCKET NO: 08321-0164 US

(Formerly TJU-2389)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of

Scott A. Waldman et al.

Group Art Unit:

Serial No.:

09/819,252

1642

Filed:

March 27, 2001

Examiner:

Misook Yu

For:

COMPOSITIONS AND METHODS FOR

IDENTIFYING AND TARGETING CANCER CELLS OF ALIMENTARY CANAL ORIGIN

2091

**COMMUNICATION** 

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Confirmation No.:

Sir:

Submitted herewith is a Request for Continued Examination (RCE) Transmittal, including a request to enter the Amendment filed August 19, 2002. The Amendment was filed under Rule 116 and not entered. Additionally, applicants submit herewith a courtesy copy of the executed Declaration of Dr. Scott Waldman Under 37 C.F.R. 1.132, which was filed on April 23, 2003, following the filing of a an unexecuted copy on April 4, 2003.

## CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

BY	amy glabieke	
DATE:	10/2/03	
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The Waldman Declaration references an appended Exhibit 3, which is a Pub Med Abstract of Eda et al., *J. Gastroenterol.* 38(1):14-22(2003). The complete text of the Eda et al. paper was filed on April 23, 2003. A further courtesy copy is enclosed herewith.

The claims are believed in condition for allowance. An early notice of allowance is respectfully requested.

Respectfully submitted,

SCOTT A. WALDMAN et al.

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